### Zookeeper Mutex, Distributed Databases,

22 December 2024 Lecture 8

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Advanced Data Management: For SQL, NoSQL, Cloud and Distributed Databases.

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### **Topics for Today**

- Mutual Exclusion
  - Using Zookeeper
- Elections
- Distributed Databases

### Using ZooKeeper basics



#### Centralized server setup

# All client-server communication is nonblocking

A client immediately gets a response

# Maintains a tree-based namespace

- Like a filesystem
- Example: /lock

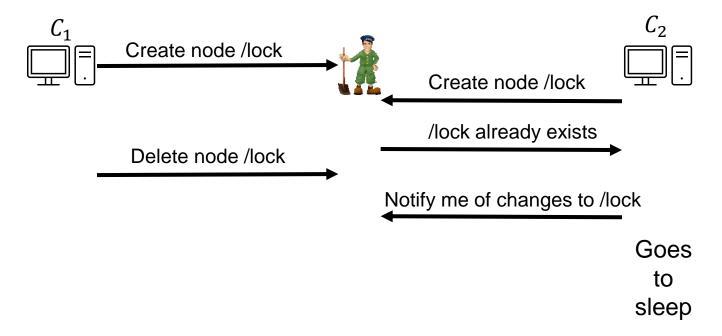
#### Clients can

- create
- delete
- update nodes
- check existence

### ZooKeeper Race Conditions



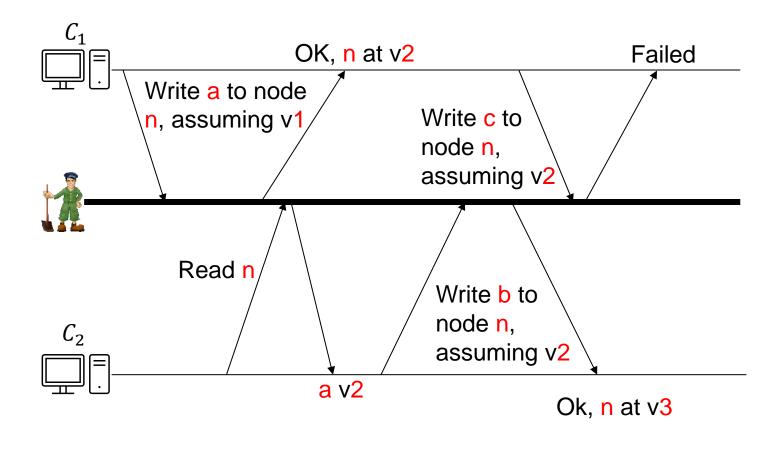
- ZooKeeper allows a client to be notified when a node or a branch in the tree changes
- May easily lead to race conditions.



Solution: Use Version Numbers

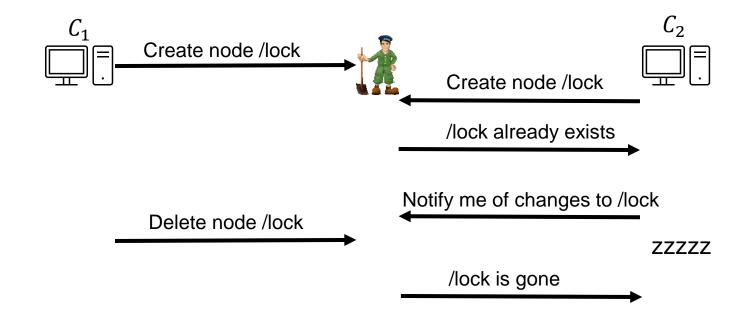
### ZooKeeper Versioning





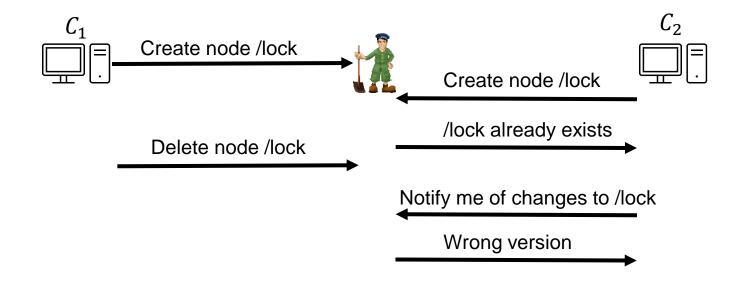
### ZooKeeper Locking





### ZooKeeper Locking





### So Far

- Mutual Exclusion
  - Using Zookeeper
- Elections
- Distributed Databases

### **Election Algorithms**

Principle: An algorithm requires some process acts as a coordinator. How to select the special process dynamically.

Note: In many systems the coordinator is chosen by hand (e.g. file servers). This leads to centralized solutions → single point of failure.

Question: If a coordinator is chosen dynamically, is it centralized? Distributed?

Question: Is a fully distributed solution, i.e. one without a coordinator, always more robust than any centralized or coordinated solution?

### Election by Bullying (1/2)

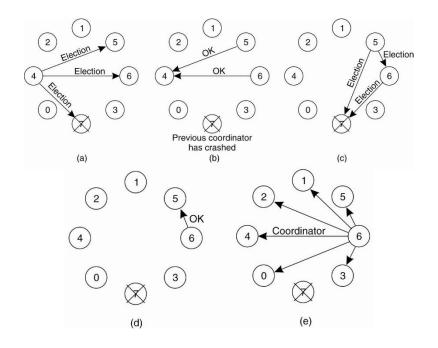
Principle: Each process has an associated priority (weight). Process with the highest priority must be elected as coordinator.

Issue: How to find the heaviest process?

- 1. Any process can just start an election by sending an election message to all other processes (assuming you don't know the weights of the others).
- 2. If a process  $P_{heavy}$  receives an election message from a lighter process  $P_{light}$ , it sends a take-over message to  $P_{light}$ .  $P_{light}$  is out of the race.
- 3. If a process doesn't get a take-over message back, it wins, and sends a victory message to all other processes.

### Election by Bullying (2/2)

Question: We're assuming something very important here - what?



If you have broadcast - just broadcast to everyone.

### Election in a Ring

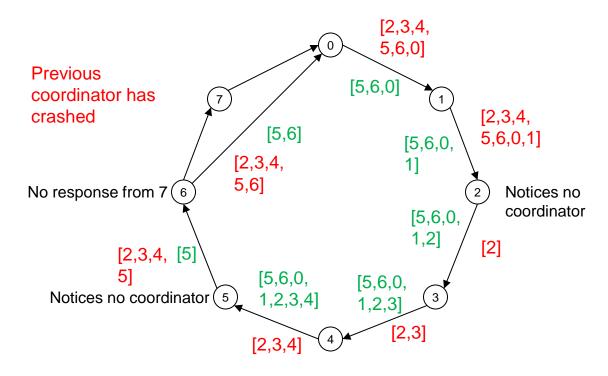
Principle: Process priority is obtained by organizing processes into a (logical) ring. Process with the highest priority should be elected as coordinator.

- Any process can start an election by sending an election message to its successor.
   If successor is down, the message is passed on to the next successor.
- If a message is passed on, the sender adds itself to the list. When it gets back to the initiator, everyone had a chance to make its presence known.
- The initiator sends a coordinator message around the ring containing a list of all living processes. The one with the highest priority is elected as coordinator.

Question: Does it matter if two processes initiate an election?

Question: What happens if a process crashes during the election?

### Election in a Ring



### Leader election in ZooKeeper server group



- Each server s in the server group has an identifier id(s)
- Each server has a monotonically increasing counter tx(s) of the latest transaction it handled (i.e., series of operations on the namespace).
- When follower *s* suspects leader crashed, it broadcasts an ELECTION message, along with the pair (*voteID*, *voteTX*). Initially,
  - $voteID \leftarrow id(s)$
  - $voteTX \leftarrow tx(s)$
- Each server s maintains two variables:
  - leader(s): records the server that s believes may be final leader. Initially,  $leader(s) \leftarrow id(s)$ .
  - lastTX(s): what s knows to be the most recent transaction. Initially,  $lastTX(s) \leftarrow tx(s)$ .

### Leader election in ZooKeeper server group



- When s\* receives (voteID, voteTX)
- If  $lastTX(s^*) < voteTX$ , then  $s^*$  just received more up-to-date information on the most recent transaction, and sets
  - $leader(s^*) \leftarrow voteID$
  - $lastTX(s^*) \leftarrow voteTX$
- If  $lastTX(s^*) = voteTX$  and  $leader(s^*) < voteID$ , then  $s^*$  knows as much about the most recent transaction as what it was just sent, but its perspective on which server will be the next leader needs to be updated:
  - $leader(s^*) \leftarrow voteID$

#### Note

- When  $s^*$  believes it should be the leader, it broadcasts  $\langle id(s^*), tx(s^*) \rangle$ .
- Essentially, we're bullying.

### So Far

- Mutual Exclusion
  - Using Zookeeper
- Elections
- Distributed Databases

#### Distributed Databases Intro

A distributed database system consists of loosely coupled sites that share no physical components

- DBs that run at each site are independent of each other
- Transactions may access data at one or more sites

#### Why?

#### **Vertical Scaling**

- Scaling up
- Add more memory, disk, CPUs

#### Reach the limit

- Can't get bigger
- Bottleneck

#### Horizontal Scaling

Stretch it across many servers

### Distribution Advantages

#### Load balancing

 Divide the traffic and burden



#### Flexible Scaling

 Add or remove servers as needed



#### Heterogenous Nodes

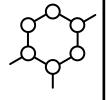
Combine powerful and cheap servers



- Nodes can replace each other
- Failure recovery



## Decentralized Control



- P2P algorithms for failure tolerance
- No single point of failure

### Distributed Database Transparency

#### Access

Uniform query and management interfaces

#### Location

 User can query without specifying where to run it

#### Replication

- Can query anywhere in a replicated system and get same answer
- Nodes update each other

#### Fragmentation

- Data may be split up
- Queries are routed to the correct nodes as needed

#### Migration

If data moves, user is unaware

#### Concurrency

- Many users may work at once
- Resolve conflicts

#### Failure

- Work even in presence of failures
- Recover from missed messages

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### Distributed Data Storage

#### Assume relational data model. Major goals of distribution:

#### Replication

 System maintains multiple copies of data (stored in different sites) for fast retrieval and fault tolerance.

#### Fragmentation

- Relation is partitioned into several fragments stored at different sites

#### Replication + Fragmentation

 Relation is partitioned into several fragments: system maintains several identical replicas of each such fragment.

### Data Replication



Relation or fragment is replicated when it is stored at two or more sites.

- Full replication → the relation is stored at all sites.
- Fully redundant DBs → every site has a copy of the entire database.

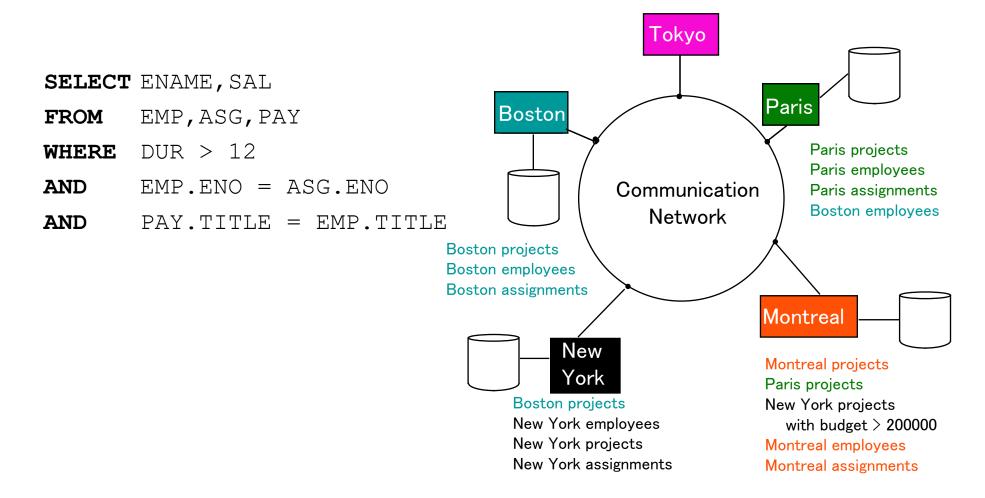
#### Advantages:

- Availability: failure of site with r doesn't cause unavailability
- Parallelism: queries on r may be processed by several nodes in parallel.
- Reduced data transfer: r is available at each site with a replica

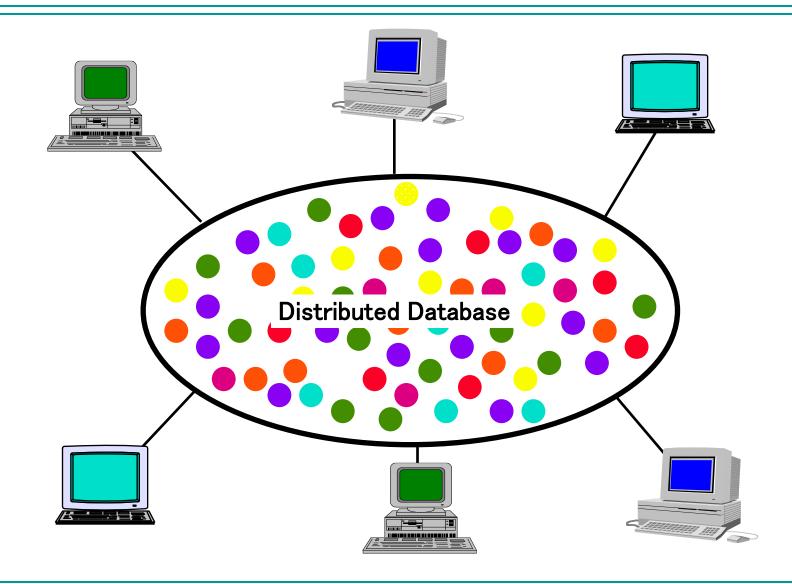
#### Disadvantages:

- Increased cost of updates: each replica of r must be updated.
- Increased complexity of concurrency control: concurrent updates to distinct replicas may lead to inconsistent data unless special concurrency control mechanisms are implemented.
- One solution: choose one copy as primary copy and apply concurrency control operations on primary copy

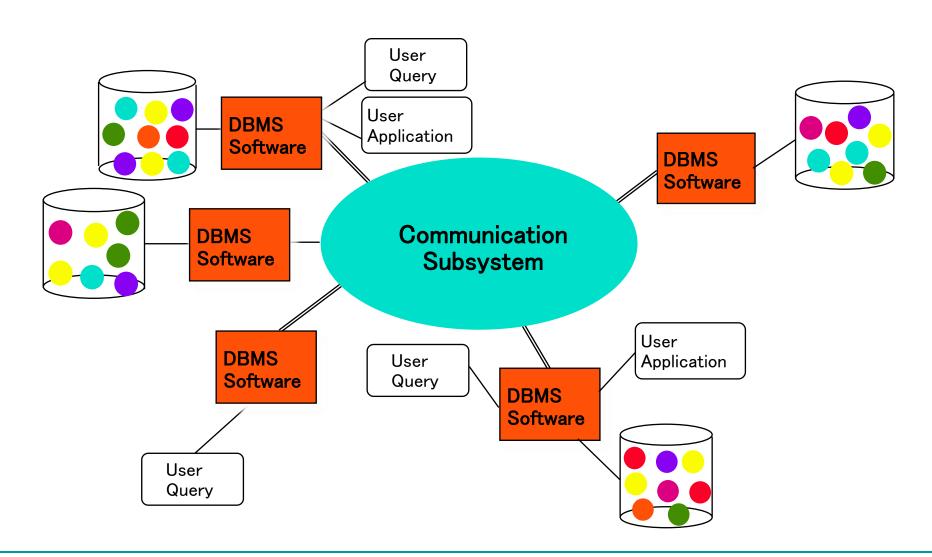
### Fragmentation and Transparent Access



### Distributed Database - User View



### Distributed DBMS - Reality



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### Data Fragmentation



Division of r into fragments  $r_1, r_2, r_3, ..., r_n$  which contain sufficient information to reconstruct it.

Horizontal fragmentation: each tuple of r is assigned to one or more fragments

**Vertical fragmentation**: the schema (columns) for r is split into several smaller schemas

- All schemas must contain a common candidate key (or superkey) to ensure lossless join (reconstruction).
- A special attribute (a rowld or artificial key) may be added to the schema

**Example**: relation account with schema:

Account = (branch\_name, account\_number, balance )

### **Example: Horizontal Fragmentation**

Table Account(branch\_name, account\_number, balance)

 $account_1 = \sigma_{branch\_name = "Hillside"}(Account)$ 

branch_name	account_number	balance
Hillside	A-305	500
Hillside	A-226	336
Hillside	A-155	62

branch_name	account_number	balance
Valleyview	A-177	205
Valleyview	A-402	1000
Valleyview	A-408	1123
Valleyview	A-639	750

 $account_2 = \sigma_{branch\_name = "Valleyview"}(Account)$ 

### **Example: Vertical Fragmentation**

Table Customer\_Info(tuple\_id, account\_number, branch\_name, customer\_name, balance)

 $deposit_1 = \Pi_{branch\_name, customer\_name, tuple\_id}(Customer\_info)$ 

branch_name	customer_name	tuple_id
Hillside	Lowman	1
Hillside	Camp	2
Valleyview	Camp	3
Valleyview	Kahn	4
Hillside	Kahn	5
Valleyview	Kahn	6
Valleyview	Green	7

tuple_id	account_number	balance
1	A-305	500
2	A-226	336
3	A-177	205
4	A-402	10000
5	A-155	62
6	A-408	1123
7	A-639	750

 $deposit_2 = \Pi_{tuple\_id,account\_number,balance}(Customer\_info)$ 

### Fragmentation Advantages



#### Horizontal

- Parallel processing on fragments of a relation
- Can split a relation so that tuples are located where they are most frequently accessed

#### Vertical

- Columns can be split so that each part of the tuple is stored where it is most frequently accessed
  - tuple-id attribute allows efficient joining of vertical fragments
- Parallel processing on a relation by column splits

Can mix the two (vertical and horizontal)
Fragments may be re-fragmented to an arbitrary depth

### **Fragmentation Costs**



#### Horizontal

- Division into equal parts
- Hot spots data in particular demand
- Maintenance over time with data creation and deletion

#### Vertical

- Joins across columns are costly
- Need to calculate affinity which columns are more likely to be requested together



- Need to contact many servers to do complete query
  - SELECT \* FROM Sailors

### Sharding - NoSQL Databases

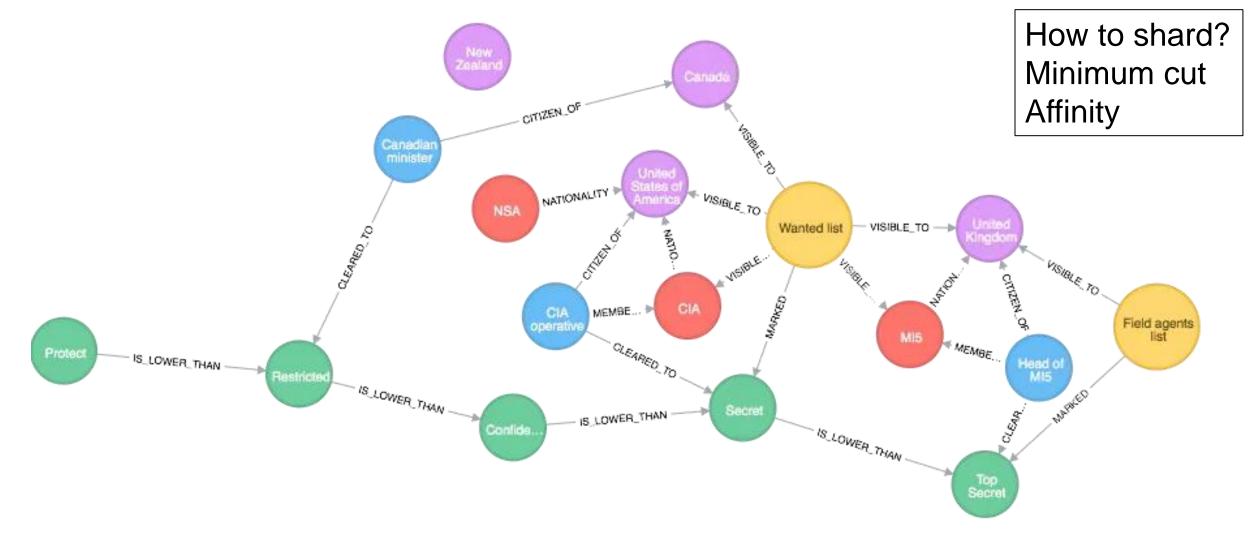
No tables, just record IDs

Divide up responsibility for records among servers

No joins, so simpler

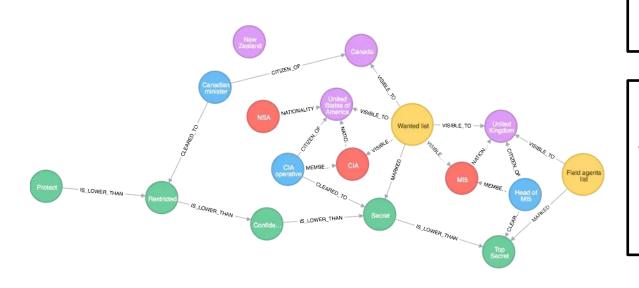
Consider which records are commonly retrieved with which

### **Sharding Graph Databases**



https://singhnaveen.medium.com/what-are-graph-databases-and-different-types-of-graph-databases-369e5040a9d0

### **Sharding Graph Databases: Options**



#### Manual

 Place nodes near where they are used

#### Random

Place nodes randomly

#### Hash based

 Assign range of nodes based on hash values

#### Workload driven

- Reduce cuts traversed in each transaction
- Keep track of usage and history

#### Issues:

- How to find nodes at the end of a connection?
- Quickly query nodes in a string?

https://singhnaveen.medium.com/what-are-graph-databases-and-different-types-of-graph-databases-369e5040a9d0

### Naming Data Items - Criteria

Uniqueness

Every data item must have a system-wide unique name.

#### Search

 Must be able to find the location of data items efficiently.

#### Migrate

 Must be able to change the location of data items transparently.

#### **Autonomy**

 Each site should be able to create new data items autonomously.

- I have 100 tables, 500 columns, 1,000,000 data rows
- How do I identify them?

### Solution 1: Centralized (Name Server)

Unique

Search

Migrate

Autonomy

#### Structure:

- Name Server assigns all names
- Each site maintains a record of local data items
- Sites ask Name Server to locate non-local data items

#### Advantages:

Satisfies criteria Unique, Search, Migrate

#### Disadvantages:

- Does not satisfy criterion Autonomy
- Name Server is a potential performance bottleneck
- Name Server is a single point of failure

### Solution 2: Aliases and Local

Unique

Search

Migrate

Autonomy

#### Structure:

- Each site prefixes its own site identifier to any name that it generates (i.e., *site*17.a*ccount)* 
  - ✓ Gives a unique identifier
  - ✓ Avoids problems with central control.
  - Does not give network transparency.

Solution: Create local aliases for data items; Store the mapping of aliases to the real names at each site.

#### Results:

- User can be unaware of the physical location of a data item
- User is unaffected if the data item is moved from one site to another.

### Conclusion

- Mutual Exclusion
  - Using Zookeeper
- Elections
- Distributed Databases

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